

NHPUC 12FEB'19AM 11:38



Lauren Bauer Zinman, Esq.
General Counsel

February 11, 2019

Executive Director
New Hampshire Public Utilities Commission
21 South Fruit Street, Suite 10
Concord, NH 03301-2429

**Re: DM 12-072: TFS Energy Solutions, LLC
Renewal Registration as a Provider of Electricity Aggregation Service**

To Whom It May Concern:

Attached please find the Renewal Application as a Provider of Electricity Aggregation Service in the State of New Hampshire of TFS Energy Solutions, LLC d/b/a Tradition Energy ("Tradition") as required pursuant to the New Hampshire Code of Administrative Rules.

The Application attached hereto is provided in duplicate as well as in an electronic format. Because Tradition paid its NHPUC annual assessment for the most recent fiscal year, no fee is included.

Thank you for your assistance with this matter. If you have any questions please contact me at (203) 391-2253 or lauren.zinman@tradition.com.

Sincerely,

A handwritten signature in blue ink, appearing to read "Lauren Z", written over a horizontal line.

Lauren Bauer Zinman, Esq.
General Counsel
Tradition Energy



Electric Load Aggregation Application Form

This form may be used to: (1) apply for initial registration as an electric load aggregator in New Hampshire, (2) apply for renewal of registration as an electric load aggregator in New Hampshire, and (3) notify the Commission of any changes to information in a previously-filed electric aggregator application form. *This form is provided as a convenience for filing only; you are required to provide all information specified under Puc 2006.02 when applying for initial or renewal registration as an electric aggregator, but you are not required to use this form when doing so.*

| Indicate whether this application is for an initial registration or for a renewal. Initial <input type="checkbox"/> Renewal <input checked="" type="checkbox"/> | | |
|---|--|--|
| Applicant's General Information | | |
| Puc 2006.02(a) | Legal Name | TFS Energy Solutions, LLC |
| | Trade Name (d/b/a) (if applicable) | Tradition Energy |
| Puc 2006.02(b) | Business Mailing Address | 9 WEST BROAD STREET, 9TH FLOOR STAMFORD, CT 06902 |
| | Telephone Number | 203-205-9650 |
| | E-Mail Address | brian.mcdermott@traditionenergy.com |
| | Website Address (if applicable) | www.traditionenergy.com |
| | Provide the name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant, if an individual, or of the applicant's principal(s), 1 if the applicant is anything other than an individual. Use additional sheets if your response exceeds the space provided on the form. | |
| Puc 2006.02(c) | Name | Alan Kurzer |
| | Title | President and Manager |
| | Business Mailing Address | 9 WEST BROAD STREET, 9TH FLOOR STAMFORD, CT 06902 |
| | Telephone Number | 203-351-1590 |
| | E-Mail Address | Alan.Kurzer@tradition.com |
| | | |
| | Name | Brian McDermott |
| | Title | Executive Director, Head of Operations |
| | Business Mailing Address | 9 WEST BROAD STREET, 9TH FLOOR STAMFORD, CT 06902 |
| | Telephone Number | 203-205-9650 |
| | Email Address | brian.mcdermott@traditionenergy.com |
| | | |
| | Name | |
| | Title | |
| | Business Mailing Address | |
| | Telephone Number | - - |
| | E-Mail Address | |

1 "Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.



| Customer Service Contact | | |
|--------------------------|---|--|
| Puc 2006.02(d) | Name | Brian McDermott |
| | Title | EXECUTIVE DIRECTOR, HEAD OF OPERATIONS |
| | Telephone Number | 203-205-9650 |
| | Toll-Free Telephone Number (if available) | 877-517-6937 |
| | E-Mail Address | brian.mcdermott@traditionenergy.com |

| Customer Complaints Contact | | |
|-----------------------------|--------------------------|--|
| Puc 2006.02(e)(1) | Name | Brian McDermott |
| | Title | EXECUTIVE DIRECTOR, HEAD OF OPERATIONS |
| | Business Mailing Address | 9 WEST BROAD STREET, 9TH FLOOR |
| | | STAMFORD, CT 06902 |
| | Telephone Number | 203-205-9650 |
| | E-Mail Address | brian.mcdermott@traditionenergy.com |

| Regulatory Compliance Matters Contact | | |
|---------------------------------------|--------------------------|--|
| Puc 2006.02(e)(2) | Name | Brian McDermott |
| | Title | EXECUTIVE DIRECTOR, HEAD OF OPERATIONS |
| | Business Mailing Address | 9 WEST BROAD STREET, 9TH FLOOR |
| | | STAMFORD, CT 06902 |
| | Telephone Number | 203-205-9650 |
| | E-Mail Address | brian.mcdermott@traditionenergy.com |

| Commission Assessment Payments Contact | | |
|--|--------------------------|--------------------------------|
| Puc 2006.02(e)(3) | Name | Lauren Zinman |
| | Title | General Counsel |
| | Business Mailing Address | 9 WEST BROAD STREET, 9TH FLOOR |
| | | STAMFORD, CT 06902 |
| | Telephone Number | 203-391-2253 |
| | E-Mail Address | lauren.zinman@tradition.com |

| Separate Attachments: Business Authority and Trade Name | |
|---|---|
| Puc 2006.02(f) | Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting either of the following: (1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import; or (2) a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire. |
| Puc 2006.02(g) | Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state by submitting either of the following: (1) a recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or (2) a copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing |



| | |
|--|--------------------------------|
| | business under the trade name. |
|--|--------------------------------|



Statements Regarding Applicant and its Principals

| | | |
|-------------------|---|----|
| | Please respond to each of the following questions with either "Yes" or "No." | |
| Puc 2006.02(h)(1) | Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court? | No |
| Puc 2006.02(h)(2) | Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation? | No |
| Puc 2006.02(h)(3) | Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation? | No |
| Puc 2006.02(h)(4) | Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation? | No |
| Puc 2006.02(h)(5) | Has applicant or any of its principals been denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction? | No |
| | If an affirmative answer is provided to any item above, then provide a detailed explanation of the occurrence and the related circumstances. Use additional sheets as needed. | |

Other States

| | | |
|----------------|---|------------------|
| Puc 2006.02(i) | Please list other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of electric customers. | SEE ATTACHMENT I |
|----------------|---|------------------|

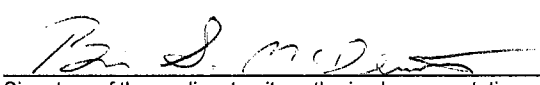
Statement Regarding Supplier Representation

| | | |
|----------------|---|----|
| Puc 2006.02(j) | Please respond to the following question with either "Yes" or "No." Is the applicant representing any supplier interest? | No |
| | If the response to the preceding question is "Yes," please list the supplier(s) represented. Use additional sheets if needed. | |

Expected Marketing Start Date

| | | |
|----------------|---|-----------------------------------|
| Puc 2006.02(k) | Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire. | CURRENTLY MARKETING Date _____ |
|----------------|---|-----------------------------------|

Attestation and Signature

| | | |
|------------------------|---|--------------------------------|
| Puc 2006.02(l) and (m) | <p>BY SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE AGGREGATOR AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.</p> <p> Signature of the applicant or its authorized representative</p> <p>Name: BRIAN McDERMOTT</p> <p>Title: EXECUTIVE DIRECTOR, HEAD OF OPERATIONS</p> | <p><u>2/11/11</u> Date</p> |
|------------------------|---|--------------------------------|

Filing Instructions

| | | |
|--|--|--|
| | <p>1) Mail an original and two paper copies of this form and all separate attachments to: Executive Director, NHPUC, 21 South Fruit St., Suite 10, Concord, NH 03301</p> <p>2) E-mail a PDF of this form and all separate attachments to: Executive.Director@puc.nh.gov</p> | |
|--|--|--|

ATTACHMENT 1

Arizona
California
Connecticut
Delaware
District of Columbia
Illinois
Maine
Maryland
Massachusetts
Michigan
New Hampshire
New Jersey
New York
Ohio
Oregon
Pennsylvania
Rhode Island
Texas
Virginia

Search Business Names

[← Back to Home \(/online/BusinessInquire\)](#)

Search Result

| Business Name | Business ID | Homestate Name | Previous Name | Business Type | Principal Office Address | Registered Agent Name | Status |
|---|-------------|---------------------------|---------------|-----------------------------------|--|-----------------------|---------------|
| TFS Energy Solutions, LLC (/online/BusinessInquire/BusinessInformation? businessID=392093) | 607858 | TFS Energy Solutions, LLC | | Foreign Limited Liability Company | 9 West Broad Street, 9th Floor, Stamford, CT, 06902, USA | COGENCY GLOBAL INC. | Good Standing |

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[Back](#)NH Department of State, 107 North Main St. Room 204, Concord, NH 03301 -- [Contact Us \(/online/Home/ContactUS\)](#)

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State of New Hampshire

Department of State

RENEWAL CERTIFICATE OF REGISTERED TRADE NAME

OF

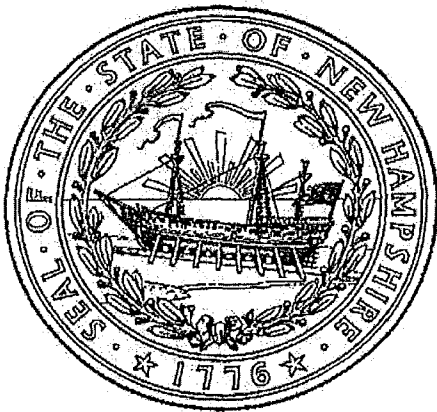
TRADITION ENERGY

This is to certify that **TFS ENERGY SOLUTIONS, LLC** reregistered in this office as doing business under the Trade Name **TRADITION ENERGY**, at 9 West Broad Street 9th Flr, Stamford, CT, 06902, USA on **6/29/2019 12:00:00 AM**.

The nature of business is **OTHER / energy procurment & consulting**

Expiration Date: **6/29/2024 12:00:00 AM**

Business ID: **616042**



IN TESTIMONY WHEREOF,
I hereto set my hand and cause to be affixed
the Seal of the State of New Hampshire,
this 9th day of January A.D. 2019.

A handwritten signature in black ink, appearing to read "William M. Gardner".

William M. Gardner
Secretary of State